# Periodic Multisorting Comparator Networks<sup>\*</sup>

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**Abstract.** We present a family of periodic comparator networks that transform the input so that it consists of a few sorted subsequences. The depths of the networks range from 4 to  $2 \log n$  while the number of sorted subsequences ranges from  $2 \log n$  to 2. They work in time  $c \log^2 n + O(\log n)$  with  $4 \le c \le 12$ , and the remaining constants are also suitable for practical applications. So far, known periodic sorting networks of a constant depth that run in time  $O(\log^2 n)$  (a periodic version of AKS network [7]) are impractical because of complex structure and very large constant factor hidden by big "Oh".

Keywords: sorting, comparator networks, parallel algorithms.

### 1 Introduction

Comparator is a simple device capable of sorting two elements. Many comparators can be connected together to form a comparator network. This way we get the classical framework for sorting algorithms. Optimal arranging the comparators turned out to be a challenge. The main complexity measures of comparator networks are time complexity (depth or number of steps) and the number of comparators. The most famous sorting network is AKS network with asymptotically optimal depth  $O(\log n)$  [1], however the big constant hidden by big "Oh" makes it impractical. The Batcher networks of depth  $\approx \frac{1}{2}\log^2 n$  [2], seem to be very attractive for practical applications.

A periodic network is repeatedly used on the intermediate results until the output becomes sorted, thus the same comparators are reused many times. In this case, the time complexity is the depth of the network multiplied by the number of iterations. The main advantage of periodicity is the reduction of the amount of hardware (comparators) needed for the realization of the sorting algorithm, with a very simple control mechanism providing the output of one iteration as the input for the next iteration. Dowd et al, [3], reduced the number of comparators from  $\Omega(n \log^2 n)$  to  $\frac{1}{2}n \log n$ , while keeping the sorting time  $\log^2 n$ , by the use of a periodic network of depth  $\log n$ . (The networks of depth d have at most dn/2 comparators.) There are some periodic sorting networks of a constant depth ([10], [5], [7]). In [7], constant depth networks with time complexity  $O(\log^2 n)$  are

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obtained by "periodification" of the AKS network, and more practical solutions with time complexity  $O(\log^3 n)$ , are obtained by "periodification" of the Batcher network. On the other hand there is not known any  $\omega(\log n)$  lower bound on the time complexity of periodic sorting networks of constant depth. Closing the gap between the known upper bound of  $O(\log^2 n)$  and the trivial general lower bound  $\Omega(\log n)$  seems to be a very hard problem.

Periodic networks of constant depth can also be used for simpler tasks, such as merging sorted sequences [6], or resorting sequences with few values modified [4].

#### 1.1 New Results

We assume that the values are stored in the registers and the only allowed operations are *compare-exchange* operations (*applications of comparators*) on the pairs of registers. Such an operation takes the two values stored in the pair of registers and stores the lower value in the first register and the greater value in the second register. (This interpretation differs from the one presented for instance in [8] but is more useful when periodic comparator networks are concerned.)

We present a family of periodic comparator networks  $N_{m,k}$ . The input size of  $N_{m,k}$  is  $n = 4m2^k$ . The depth of  $N_{m,k}$  is  $2\lceil k/m \rceil + 2$ . In Section 4 we prove the following theorem.

**Theorem.** The periodic network  $N_{m,k}$  transforms the input into 2m sorted subsequences of length n/(2m) in time  $4k^2 + 8km + O(k+m)$ .

For example, the network  $N_{1,k}$  is a network of depth  $\approx 2 \log n$  that produces 2 sorted sequences in time  $\approx 4 \log^2 n + O(\log n)$ . On the other hand,  $N_{k,k}$  is a network of depth 4 that transforms the input into  $\approx 2 \log n$  sorted sequences in time  $\approx 12 \log^2 n + O(\log n)$ . Due to the large constants in the known periodic constant depth networks sorting in time  $O(\log^2 n)$ , [7], it could be interesting alternative to use  $N_{k,k}$  to produce very much ordered (although not completely sorted) output.

The output produced by  $N_{m,k}$  can be finally sorted by a network merging 2m sequences. This can be performed by the very efficient multiway merge sorting networks [9]. It is an interesting problem to find efficient periodic network of constant depth that merges multiple sorted sequences. The periodic networks of constant depth that merge two sorted sequences in time  $O(\log n)$  are already known [6].

As  $N_{m,k}$  outputs multiple sorted sequences, we call it a *multisorting* network. Much simpler multisorting networks of constant depth exist if some additional operations are allowed (such as permutations of the elements in the registers between the iterations). However, we consider only the case restricted to the compare-exchange operations.

### 2 Preliminaries

By a comparator network we mean a set of registers  $R_0, \ldots, R_{n-1}$  together with a finite sequence of *layers of comparators*. Every moment a register  $R_i$  contains a single value (denoted by  $v(R_i)$ ) from some totally ordered set, say  $\mathbb{N}$ . We say that the network stores a sequence  $v(R_0), \ldots, v(R_{n-1})$ . A subset S of registers is sorted if for all  $R_i$ ,  $R_j$  in S, i < j implies that  $v(R_i) \leq v(R_j)$ . A comparator is denoted by an ordered pair of registers  $(R_i, R_j)$ . If  $v(R_i) = x$  and  $v(R_j) = y$ before an application of the comparator  $(R_i, R_j)$ , then  $v(R_i) = \min\{x, y\}$  and  $v(R_i) = \max\{x, y\}$  after the application of  $(R_i, R_i)$ . A set of comparators L forms a *layer* if each register is contained in at most one of the comparators of L. So all the comparators of a layer can be applied simultaneously. We call such application a step. The depth of the network is the number of its layers. An *input* is the initial value of the sequence  $v(R_0), \ldots, v(R_{n-1})$ . An *output* of the network N is the sequence  $v(R_0), \ldots, v(R_{n-1})$  obtained after application of all its layers (application of N) on some initial input sequence. We can iterate the network's application, by applying it to the output of its previous application. We call such network a *periodic network*. The *time* complexity of the periodic network is the number of steps performed in all iterations.

## 3 Definition of the Network $N_{m,k}$

We define a periodic network  $N_{m,k}$  for positive integers m and k. For the sake of simplicity we fix the values m and k and denote  $N_{m,k}$  by N. Network N contains n registers  $R_0, \ldots, R_{n-1}$ , where  $n = 4m \cdot 2^k$ . It will be useful to imagine that the registers are arranged in a three-dimensional matrix M of size  $2 \times 2m \times 2^k$ . For  $0 \le x \le 1, 0 \le y \le 2m - 1$  and  $0 \le z \le 2^k - 1$ , the element  $M_{x,y,z}$  is a register  $R_i$  such that i = x + 2y + 4mz. For the intuitions, we assume that Z and Y coordinates are increasing downwards and rightwards respectively. By a column  $C_{x,y}$  we mean a subset of registers  $M_{x,y,z}$  with  $0 \le z < 2^k$ .  $P_y = C_{0,y} \cup C_{1,y}$  is a pair of columns. An Z-slice is a subset of registers with the same Z coordinate.

Let  $d = \lceil k/m \rceil$ . We define the sets of comparators  $X, Y_0, Y_1$ , and  $Z_i$ , for  $0 \le i < d$ , as follows. (Comparators of  $X, Y_j$  and  $Z_i$  are called X-comparators, Y-comparators and Z-comparators, respectively.) The comparators of  $X, Y_0$  and  $Y_1$  act in each Z-slice separately (see Figure 1). Set X contains comparators  $(M_{0,y,z}, M_{1,y,z})$ , for all y and z. Let Y be an auxiliary set of all comparators  $(M_{x,y,z}, M_{x,y',z})$  such that  $y' = (y + 1) \mod 2m$ .  $Y_0$  contains all comparators  $(M_{x,y,z}, M_{x,y',z})$  from Y, such that y is even.  $Y_1$  consists of these comparators from Y that are not in  $Y_0$ . Note that the layer  $Y_1$  contains nonstandard comparators  $(M_{x,2m-1,z}, M_{x,0,z})$  (i.e. comparators that place the greater value in the register with lower index).

In order to describe  $Z_i$  we define a matrix  $\alpha$  of size  $d \times 2m$  (with the rows indexed by the first coordinate) such that, for  $0 \le i < d$  and  $0 \le j < 2m$ :

- if j is even then  $\alpha_{i,j} = d \cdot j/2 + i$ ,
- if j is odd  $\alpha_{i,j} = \alpha_{i,2m-1-j}$ .



**Fig. 1.** Comparator connections within a single Z-slice. Dotted (respectively, dashed and solid) arrows represent comparators from X (respectively,  $Y_0$  and  $Y_1$ ).

For example, for m = 4 and  $4 < k \le 8$ ,  $\alpha$  is the following matrix:

$$\begin{bmatrix} 0 \ \mathbf{6} \ 2 \ \mathbf{4} \ \mathbf{4} \ \mathbf{2} \ \mathbf{6} \ \mathbf{0} \\ 1 \ \mathbf{7} \ \mathbf{3} \ \mathbf{5} \ \mathbf{5} \ \mathbf{3} \ \mathbf{7} \ \mathbf{1} \end{bmatrix}$$

For  $0 \leq i < d$ ,  $Z_i$  consists of comparators  $(M_{1,y,z}, M_{0,y,z'})$  such that  $0 \leq y < 2m$ and  $z' = z + 2^{k-1-\alpha_{i,y}}$  provided that  $0 \leq z$ ,  $z' < 2^k$  and  $k-1-\alpha_{i,y} \geq 0$ . By a *height* of the comparator  $(M_{x,y,z}, M_{x',y',z'})$  we mean z'-z. Note that each single Z-comparator is contained within a single pair of columns and all comparators of  $Z_i$  contained in the same pair of columns are are of the same height which is a power of two. All Z-comparators of height  $2^{k-1}, 2^{k-2}, \ldots, 2^{k-d}$  (which are from  $Z_0, Z_1, \ldots, Z_{d-1}$ , respectively) are placed in the pairs of columns  $P_0$  and  $P_{2m-1}$ . All Z-comparators of height  $2^{k-1-d}, \ldots, 2^{k-2d}$  (from  $Z_0, \ldots, Z_{d-1}$ ) are placed in  $P_2$  and  $P_{2m-3}$ . And so on. Generally, for  $0 \leq i < d$  and  $0 \leq y < m$ , the height of all comparators of  $Z_i$  contained in  $P_{2y}$  and in  $P_{2m-1-2y}$  is  $2^{k-1-dy-i}$ .



Fig. 2. Z-comparators of different heights within the pairs of columns, for k = 3.

The sequence of layers of the network N is  $(L_0, \ldots, L_{2d+1})$  where  $L_{2i} = X$ ,  $L_{2i+1} = Z_i$ , for  $0 \le i < d$ , and  $L_{2d} = Y_0$ ,  $L_{2d+1} = Y_1$ .



Fig. 3. Network  $N_{3,3}$ . For clarity, the Y-comparators are drawn separately.

A set of comparators K is symmetric if  $(R_i, R_j) \in K$  implies  $(R_{n-1-j}, R_{n-1-i}) \in K$ . Note that all layers of N are symmetric.

Figure 3 shows a network  $N_{k,m}$ , for k = m = 3. As  $m \ge k$ , this network contains only one layer of Z-comparators  $Z_0$ .

# 4 Analysis of the Computation of $N_{m,k}$

The following theorem is a more detailed version of the theorem stated in the introduction.

**Theorem 1.** After  $T \leq 4k^2 + 8mk + 7k + 14m + 6\frac{k}{m} + 13$  steps of the periodic network  $N_{m,k}$  all its pairs of columns are sorted.

We denote  $N_{m,k}$  by N. By the zero-one principle, [8], it is enough to show this property for the case when only zeroes and ones are stored in the registers. We replace zeroes by negative numbers and ones by positive numbers. These numbers can increase their absolute values between the applications of subsequent layers in periodic computation of N, but can not change their signs. We show that, after T steps, negative values preceed all positive values within each pair of columns.

Initially, let  $v(R_0), \ldots v(R_{n-1})$  be arbitrary sequence of the values from  $\{-1, 1\}$ . We apply N to this sequence as a periodic network. We call the application of the layer  $Y_i$  (respectively,  $X, Z_i$ ) an Y-step (respectively, X-step).

To make the analysis more intuitive, we assume that each register stores (besides the value) an unique element. The value of an element e stored in  $R_i$ , (denoted v(e)) is equal to  $v(R_i)$ . If v(e) > 0 then e is positive. Otherwise e is negative. If just before the application of comparator  $c = (R_i, R_j)$  we have  $v(R_i) > v(R_j)$  then during the application of c the elements are exchanged between  $R_i$  and  $R_j$ . If c is from  $Y_0$  or  $Y_1$  then the elements are exchanged also if  $v(R_i) = v(R_j)$ . If e is a positive (respectively, negative) element contained in  $R_i$  or  $R_j$ , before the application of c, then e wins in c if, after the application of c, it ends up in  $R_j$  (respectively,  $R_i$ ). Otherwise e loses in c.

We call the elements that are stored during the X-steps and Z-steps in the pairs of columns  $P_{2i}$ , for  $0 \leq i < m$ , right-running elements. The remaining elements are called *left-running*.

Let k' = md. (Recall that  $d = \lceil k/m \rceil$ .) Let  $\delta = 1/(4k')$ . Note that  $k'\delta < 1$ . By critical comparators we mean the comparators between  $P_{2m-1}$  and  $P_0$  from the layer  $Y_1$ . We modify the computation of N as follows:

- After each Z-step, we increase the values of the positive right-running elements and decrease the values of the negative left-running elements by δ. (We call it δ-increase.)
- When a positive right-running (respectively, negative left-running) element e wins in a critical comparator, we increase v(e) to  $\lfloor v(e) + 1 \rfloor$  (respectively, decrease v(e) to  $\lceil v(e) 1 \rceil$ ).

Note that once a positive (respectively, negative) element becomes rightrunning (respectively, left-running) it remains right-running (respectively, leftrunning) for ever. All the positive left-running and negative right-running elements have absolute value 1.

**Lemma 1.** If, during the Z-step t,  $|v(e)| = l + y'\delta$ , where l and y' are nonnegative integers such that  $l \geq 2$  and  $0 \leq y' < k'$ , then, during t, e can be processed only by comparators with height  $2^{k-1-y'}$ .

Let e be a positive element. (A negative element behaves symmetrically.) Since v(e) > 1, e is a right-running element during step t. At the moment when e started being right-running, its value was equal 1. A right-running element can be  $\delta$ -increased at most k' times between its subsequent wins in the critical comparators, and  $k'\delta < 1$ . Thus e reached the value 2 when it entered  $P_0$  for the first time. Then its value was being increased by  $\delta$ , after each Z-step (d times in each  $P_{2i}$ ), and rounded up to the next integer during its wins in critical comparators. The lemma follows from the definition of  $\alpha$  and  $Z_i$ : The heights of the Z-comparators from the subsequent Z-layers  $Z_i$ , for  $0 \leq i < d$ , in the subsequent pairs of columns  $P_{2j}$ , for  $0 \leq j < m$ , are the decreasing powers of two. 🗆

We say that a register  $M_{x,y,z}$  is *l*-dense for v if

- in the case v > 0:  $v(M_{x,y,z+i\lceil 2^l\rceil}) \ge v$ , for all  $i \ge 0$  such that  $z + i\lceil 2^l\rceil < 2^k$ , and

- in the case v < 0:  $v(M_{x,y,z-i\lceil 2^l \rceil}) \le v$  for all  $i \ge 0$  such that  $z - i\lceil 2^l \rceil \ge 0$ .

Note that, for l < 0, "l-dense" means "0-dense". An element is l-dense for v if it is stored in a register that is l-dense for v.

**Lemma 2.** If  $M_{x,y,z}$  is l-dense for v > 0 (respectively, v < 0), then, for 0 < 0 $v' \leq v$  (respectively,  $v \leq v' < 0$ ),  $M_{x,y,z}$  is l-dense for v'.

If  $M_{x,y,z}$  is l-dense for v > 0 (respectively, v < 0), then, for all  $j \ge 0$ (respectively,  $j \leq 0$ ),  $M_{x,y,z+j\lceil 2^l \rceil}$  is *l*-dense for *v*. If  $M_{x,y,z}$  is *l*-dense for v > 0 (respectively, v < 0) and  $M_{x,y,z+\lfloor 2^{l-1} \rfloor}$  (respectively).

tively,  $M_{x,y,z-\lfloor 2^{l-1}\rfloor}$  is l-dense for v, then  $M_{x,y,z}$  is (l-1)-dense for v.

The properties can be easily derived from the definition.  $\Box$ 

**Lemma 3.** Let L be any layer of N and  $(M_{x,y,z}, M_{x',y',z'}) \in L$ .

If  $M_{x,y,z}$  or  $M_{x',y',z'}$  is l-dense for v > 0 (respectively, v < 0), just before an application of L, then  $M_{x',y',z'}$  (respectively,  $M_{x,y,z}$ ) is l-dense for v just after the application of L.

If  $M_{x,y,z}$  and  $M_{x',y',z'}$  are l-dense for v, just before the application of L, then  $M_{x,y,z}$  and  $M_{x',y',z'}$  are *l*-dense for *v* just after the application of *L*.

**Proof.** The lemma follows from the fact that, for each integer i such that  $0 \leq z + i \lceil 2^l \rceil, \ z' + i \lceil 2^l \rceil < 2^k$ , the comparator  $(M_{x,y,z+i \lceil 2^l \rceil}, M_{x',y',z'+i \lceil 2^l \rceil})$  is also in L.  $\Box$ 

**Corollary 1.** If an element *l*-dense for *v* wins during an application of a layer *L* of *N*, then it remains *l*-dense for *v*. If it looses to another element *l*-dense for *v*, then it also remains *l*-dense for *v*. If it wins in critical comparator and v > 0 (respectively, v < 0), then it becomes *l*-dense for |v + 1| (respectively, |v - 1|).

If just before Z-step t, e is right-running positive (respectively, left-running negative) element l-dense for v > 0 (respectively, v < 0), and, during t, e looses to another element l-dense for v or wins, then it becomes l-dense for  $v + \delta$  (respectively,  $v - \delta$ ), after the  $\delta$ -increase following t.

The following lemma states that each positive element e that was rightrunning for a long time is contained in a dense foot of the elements with the value v(e) or greater, and an analogical property holds for left-running negative values.

**Lemma 4.** Consider the configuration of N after the Z-step. For nonnegative integers l, s and y' such that  $y' \leq k'$ , for each element e:

If  $v(e) = l + 2 + s + y'\delta$ , then e is (k - l)-dense for  $l + 2 + y'\delta$  and, if y' > l, then e is (k - l - 1)-dense for  $l + 2 + y'\delta$ .

If  $v(e) = -(l + 2 + s + y'\delta)$ , then e is (k - l)-dense for  $-(l + 2 + y'\delta)$  and, if y' > l, then e is (k - l - 1)-dense for  $-(l + 2 + y'\delta)$ .

**Proof.** We prove only the first part. The second part is analogical since all layers of N are symmetrical. The proof is on induction by l. Let  $0 \leq l < k$ . Let e be any element with  $v(e) = l+2+s+y'\delta$ , for some nonnegative integers s,y', where  $y' \leq k'$ . The element e was right-running during each of the last y' Z-steps. These steps were preceded by a critical step t, that increased v(e) to l+2+s. Let  $t_i$  (respectively,  $t'_i$ ) be the (i + 1)-st X-step (respectively, Z-step) after step t. Let  $M_{x_i,y_i,z_i}$  (respectively,  $M_{x'_i,y_i,z'_i}$ ) be the register that stored e just after  $t_i$  (respectively,  $t'_i$ ). Let  $v_i$  denote the value  $l + 2 + i\delta$ . During each step  $t_i$  and  $t'_i$ , all elements e' with  $v(e') \geq v(e)$ , in the pair of columns containing e, are (k-l)-dense for  $v_i$ . (For l = 0 it is obvious, since the "height" of N is  $2^k$ , and, for l > 0, it follows from the induction hypothesis and Corollary 1, since e' was (k-l)-dense for l+1 already before t, and, hence, (k-l)-dense for  $v_0$  just after t.)

Claim (Breaking Claim). For  $0 \le i \le l$ , just after the X-step  $t_i$ , the registers  $M_{0,y_i,z_i+2^{k-i}}$  and  $M_{1,y_i,z_i+2^{k-i}}$  are (k-l)-dense for  $v_i$ , if they exist.

We prove the claim by induction on i. For i = 0 it is obvious.  $(M_{0,y_i,z_i+2^k}$  and  $M_{1,y_i,z_i+2^k}$  do not exist.)

Let  $0 < i \leq l$ . Consider the configuration just after step  $t_{i-1}$ . (See Figure 4.) Since  $t_{i-1}$  was an X-step,  $v(M_{1,y_{i-1},z_{i-1}}) \geq v(e)$  and, hence,  $M_{1,y_{i-1},z_{i-1}}$  is (k-l)-dense for  $v_{i-1}$ . Thus,  $M_{1,y_{i-1},z_{i-1}+2^{k-i}}$  is (k-l)-dense for  $v_{i-1}$ , since  $2^{k-i}$  is multiple of  $2^{k-l}$ . By the induction hypothesis of the claim,  $M_{0,y_{i-1},z_{i-1}+2^{k-i+1}}$  and  $M_{1,y_{i-1},z_{i-1}+2^{k-i+1}}$  are (k-l)-dense for  $v_{i-1}$ . Just after the step  $t'_{i-1}$ ,  $M_{1,y_{i-1},z_{i-1}+2^{k-i}}$ , and  $M_{1,y_{i-1},z_{i-1}+2^{k-i+1}}$  remain (k-l)-dense for  $v_{i-1}$ , since they were compared to the registers  $M_{0,y_{i-1},z_{i-1}+2^{k-i+1}}$  and  $M_{0,y_{i-1},z_{i-1}+2^{k-i+2}}$ .



**Fig. 4.** The configuration after  $t_{i-1}$  in  $P_{y_{i-1}}$  in the registers with Z-coordinates  $z_{i-1} + j2^{k-i}$ , for  $0 \le j < 4$ . (Black registers are (k-l)-dense for  $v_{i-1}$ . Arrows denote the comparators from  $t'_{i-1}$ .)

that were (k-l)-dense for  $v_{i-1}$ .  $M_{0,y_{i-1},z_{i-1}+2^{k-i+1}}$  remains (k-l)-dense for  $v_{i-1}$ .  $M_{0,y_{i-1},z_{i-1}+2^{k-i}}$  also becomes (or remains) (k-l)-dense for  $v_{i-1}$ , since it was compared to  $M_{1,y_{i-1},z_{i-1}}$ . Thus, just after the Z-step  $t'_{i-1}$ , for  $x \in \{0,1\}$ , the registers  $M'_x = M_{x,y_{i-1},z'_{i-1}+2^{k-i}}$  are (k-l)-dense for  $v_{i-1}$  (and for  $v_i$ , after the  $\delta$ -increase). (Either  $z'_{i-1} = z_{i-1}$  and  $M'_x = M_{x,y_{i-1},z_{i-1}+2^{k-i}}$ , or  $z'_{i-1} = z_{i-1} + 2^{k-i}$  and  $M'_x = M_{x,y_{i-1},z_{i-1}+2^{k-i+1}}$ .) If  $i \mod d = 0$  then, during the next two Y-steps, the elements from both  $M'_0$  and  $M'_1$  together with the element e are moved "horizontally" to  $P_{2i/d}$  (wining by the way). Thus, by Corollary 1, just before and after the X-step  $t_i$ , for  $x \in \{0,1\}$ , the registers  $M_{x,y_i,z_i+2^{k-i}}$  are (k-l)-dense for  $v_i$ . This completes the proof of the claim.

The next claim shows how the values  $v_l$  or greater form twice more condensed foot below e.

Claim (Condensing Claim). After the Z-step  $t'_l$ , e is (k-l-1)-dense for  $v_l$  (and for  $v_{l+1}$ , after the  $\delta$ -increase).

Consider the configuration just after X-step  $t_l$ . The registers  $M_{x_l,y_l,z_l}$  and, by the Breaking Claim,  $M_{0,y_l,z_l+2^{k-l}}$  and  $M_{1,y_l,z_l+2^{k-l}}$  are (k-l)-dense for  $v_l$ . Since the last step was an X-step,  $M_{1,y_l,z_l}$  is (k-l)-dense for  $v_l$ .

Consider the following scenarios of the Z-step  $t'_1$  (see Figure 5):

- 1. *e* remains in  $M_{0,y_l,z_l}$ : Then the register  $M_{0,y_l,z_l+2^{k-l-1}}$  becomes (k-l)-dense for  $v_l$ , by Lemma 3, since  $M_{1,y_l,z_l}$  was (k-l)-dense for  $v_l$  just before  $t'_l$ . Thus *e* becomes (k-l-1)-dense for  $v_l$ , by Lemma 2.
- 2. *e* is moved from  $M_{1,y_l,z_l}$  to  $M_{0,y_l,z_l+2^{k-l-1}}$ : Then by Corollary 1, *e* remains (k-l)-dense for  $v_l$ , and the register  $M_{0,y_l,z_l+2^{k-l}}$  remains (k-l)-dense for  $v_l$ . Thus *e* becomes (k-l-1)-dense for  $v_l$ , by Lemma 2.
- 3. e remains in  $M_{1,y_l,z_l}$ : Then  $v(e) \leq v(M_{0,y_l,z_l+2^{k-l-1}}) \leq v(M_{1,y_l,z_l+2^{k-l-1}})$ just before  $t'_l$ . (The second inequality is forced by the X-step  $t_l$ ). Hence, for  $x \in \{0,1\}, R'_x = M_{x,y_l,z_l+2^{k-l-1}}$  was (k-l)-dense for  $v_l$  just before  $t'_l$ . During



**Fig. 5.** The scenarios of  $t'_l$ .

 $t'_l$  the register  $R'_1$  is compared to  $M_{0,y_l,z_l+2^{k-l}}$ . So  $R'_1$  remains (k-l)-dense for  $v_l$ . Since e was compared to  $R'_0$ , it also remains (k-l)-dense for  $v_l$ . By Lemma 2, e is (k-l-1)-dense for  $v_l$  just after  $t'_l$ .

4. *e* is moved from  $M_{0,y_l,z_l}$  to  $R' = M_{1,y_l,z_l-2^{k-l-1}}$ : During  $t'_l$ , R' was compared to  $M_{x_l,y_l,z_l}$  and  $R'' = M_{1,y_l,z_l}$  was compared to  $M_{0,y_l,z_l+2^{k-l-1}}$  that was (k-l)-dense for  $v_l$  just before  $t'_l$ , by the Breaking Claim applied to the element in R'. Thus, by Lemma 3, the registers R' and R'' remain (k-l)-dense for  $v_l$  just after  $t'_l$ . By Lemma 2, R' is (k-l-1)-dense for  $v_l$  just after  $t'_l$ .

Since there are no other scenarios for e and the subsequent  $\delta$ -increase is the same for all positive elements in  $P_{u_i}$ , the proof of the claim is completed.

By Corollary 1, the element e remains (k - l - 1)-dense for  $v_i$ , for i > l, since other elements in its pair of columns with values v(e) or greater are now also (k - l - 1)-dense for  $v_i$ , and during Y-steps e is wining (right-running).

For  $l \geq k$ , "(k-l)-dense for v" means "0-dense for v". The element e with  $v(e) = k + 1 + k\delta$  is 0-dense for  $k + 1 + k\delta$ . All the positive elements below it increase their values at the same rate as e. Thus, when v(e) reaches k + 2, it becomes 0-dense for k + 2. By repeating this reasoning for the values k + 2 and greater we complete the proof of the Lemma 4.  $\Box$ 

By Lemma 4, whenever any element e reaches the value k + 2 (in the pair of columns  $P_0$ ) it is 0-dense for k + 2. Then, by the Breaking Claim, after the X-step after e reaches the value  $k + 2 + k\delta$ , e is stored in a register  $M_{x,y,z}$  such that  $M_{0,y,z+1}$  is also 0-dense for  $k + 2 + k\delta$ . Hence, all the elements following ein its pair of columns are 0-dense for  $k + 2 + k\delta$ . By Corollary 1, this property of e remains valid forever. Since the network is symmetric, we have the following corollary:

**Corollary 2.** Consider a configuration in a pair of columns  $P_y$  just after an X-step.

If, for some register  $R_i \in P_y$ ,  $v(R_i) \ge k + 2 + k\delta$ , then, for all  $R_j \in P_y$  such that  $j \ge i$ , we have  $v(R_j) \ge k + 2 + k\delta$ .

If, for some register  $R_i \in P_y$ ,  $v(R_i) \leq -(k+2+k\delta)$ , then, for all  $R_j \in P_y$  such that  $j \leq i$ , we have  $v(R_j) \leq -(k+2+k\delta)$ .

Now, it is enough to show that, after the last X-step of the first T steps, all right-running positive and all left-running negative elements have the absolute values  $k+2+k\delta$  or greater. Then in each pair of columns containing right-running elements, the -1s are above the positive values, and in each pair of columns containing left-running elements, the 1s are below the negative elements.

**Lemma 5.** If, after m Y-steps, and the next k'(k+1) + k Z-steps, and the next X-step, e is a left-running positive (respectively, right-running negative) element, then e remains left-running (respectively, right-running) forever.

Let e be positive. (The proof for e negative is analogical). During each of the first m Y-steps, e was compared with the positive right-running elements. For  $t \ge 0$ , let  $y_t$  be such that e was in  $P_{y_t}$  just after the (t + 1)st Y-step. For  $0 \le i < m$ , let  $S_i$  (respectively,  $S'_i$ ) denote the set of positive elements that were in  $P_{y_i}$  (respectively,  $P_{(y_i+1) \mod 2m}$ ) just after (i + 1)st Y-step. Let S'' be the set of negative elements in  $P_{y_{m-1}}$  just after the mth Y-step. For  $0 \le i < m$ ,  $|S_{m-1}| = 2 \cdot 2^k - |S''| \le |S'_i|$ , since  $S_{m-1} \subseteq S_i$  and  $|S_i| \le |S'_i|$ . Note that, for all  $t \ge m$ , during the (t+1)st Y-step, the pair of columns containing (left-running)  $S'_{t \mod m}$ .

After the next k'(k+1) + k Z-steps all the elements of S'' have values  $-(k + 2 + k\delta)$  or less, and, for  $0 \le i < k$ , the elements of  $S'_i$  have values  $k + 2 + k\delta$  or greater (they have walked at least k + 1 times through the critical comparators and then increased their values by  $\delta$  at least k times during Z-steps). Let t' be the next X-step. Let t be any Y-step after t' such that e is still in the same pair of columns as S''. Before the step t, the elements in S'' and each  $S'_i$  were processed by an X-step after their absolute values had reached  $k+2+k\delta$ . Hence, by the Corollary 2, just before the Y-step t, all the final  $|S'_i|$  registers of the pair of columns containing  $S'_i$  store the values  $k + 2 + k\delta$  or greater and the pair of columns containing S'' has all the initial |S''| registers filled with the values  $-(k+2+k\delta)$  or less. Thus, e is stored in one of its remaining  $2 \cdot 2^k - |S''|$  final registers and, during the Y-step t, e is compared with a value  $k + 2 + k\delta$  or greater and it must remain left-running.  $\Box$ 

The depth of N is 2d + 2. Each iteration of N performs two Y-steps as its last steps. Thus the first m Y-steps are performed during the first  $(2d+2)\lceil m/2 \rceil$ steps. Each iteration of N performs d Z-steps. Thus, the next k'(k+1) + k Zsteps are performed during the next  $(2d+2)\lceil (k'(k+1)+k)/d \rceil$  steps. After the next X-step, t', by Lemma 5, the set S of positive right-running and negative left-running elements remains fixed. After the next  $\lceil (k'(k+1)+k)/d \rceil$  iterations absolute values of elements in S are  $k+2+k\delta$  or greater. (t' was the first step of these iterations.) After the first X-step of the next iteration, by Corollary 2, in all pairs of columns the negative values preceed the positive values. We can now replace negative values with zeroes, positive values with ones, and, by the zero-one principle, we have all the pairs of columns sorted. (Note that, by the definition of N, once all the pairs of columns are sorted, they remain sorted for ever.)

We can estimate the number of steps by  $T \leq (2d+2)(\lceil m/2 \rceil + 2\lceil (k'(k+1) + k)/d \rceil) + 1$ . Recall that  $d = \lceil k/m \rceil$ . It can be verified that  $T \leq 4k^2 + 8mk + 7k + 14m + 6\frac{k}{m} + 13$ . This completes the proof of Theorem 1.

*Remarks:* Note that the network  $N_{1,k}$  can be simplified to a periodic sorting network of depth  $2 \log n$ , by removing the Y-steps and merging  $P_0$  with  $P_1$ . However, better networks exist, [3], with depth  $\log n$  that sort in  $\log n$  iterations. Note also that the arrangement of the registers in the matrix M can be arbitrary. We can select the one that is most suitable for the subsequent merging.

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